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Initial Filing



Based on PTO/SB/01 (03-01)

Unknown

## **Attorney Docket Number** 693243-76 (UCD-1120) **DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION** First Named Inventor Allan Y. Chen (37 CFR 1.63) **Application Number** New Filing Date Herewith Declaration Declaration Submitted after Submitted with

**Group Art Unit** 

Initial Filing (surcharge

(37 CFR 1.16 e)) required)

OR

|   |                             | Examiner Na                     | me                                     | Unknown                             |  |  |  |  |  |  |  |  |
|---|-----------------------------|---------------------------------|--|-------------------------------------|--|--|--|--|--|--|--|--|
| As a below named inventor, I here   | ehy declare that:           |                                 |  |                                     |  |  |  |  |  |  |  |  |
| · ··· · · · · · · · · · · · · · · · ·   | by declare that,            |                                 |  |                                     |  |  |  |  |  |  |  |  |
| My residence, mailing address, and citizenship are as stated below next to my name.   |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
|   |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
| I believe I am the original, first and so are listed below) of the subject matte  | ole inventor (if only o     | one name is listed below) or a  | an original, first                     | and joint inventor (if plural names |  |  |  |  |  |  |  |  |
| are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  RADIOSENSITIZATION BY INDOLOCARBAZOLE DERIVATIVES                 |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
|   | INDUCUCARBAZOLE DERIVATIVES |                                 |  |                                     |  |  |  |  |  |  |  |  |
|   |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
|   |                             |                                 |  | , T                                 |  |  |  |  |  |  |  |  |
|   |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
| (Title of the Invention)  |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
| the specification of which  |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
| is attached hereto  | is attached hereto          |                                 |  |                                     |  |  |  |  |  |  |  |  |
| OR  |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
| Was filed on /MM/DD00000  |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
| was filed on (MM/DD/YYYY) as United States Application Number or PCT International  |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
| Application Number  | and                         |                                 |  |                                     |  |  |  |  |  |  |  |  |
| · -   |                             | as amended on (MM/DD/YY)        | ·                                      | (if applicable).                    |  |  |  |  |  |  |  |  |
| I hereby state that I have reviewed and understand the contents of the above identified specification including the claims, as amended by any amendment specifically referred to above. |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
| I acknowledge the duty to disclose info   | ormation which is ma        | atorial to natoriability as des |  |                                     |  |  |  |  |  |  |  |  |
| continuation-in-part applications, material national or PCT international filing date   | rial information which      | h became available betweer      | ned in 37 CFR 1<br>1 the filing date ( | .56, including for                  |  |  |  |  |  |  |  |  |
| 9 0000  | e et are contanuation-      | remepart application.           |  |                                     |  |  |  |  |  |  |  |  |
| I hereby claim the benefit under 37 U.S   | S.C. §119(e) of any l       | Jnited States Provisional Ap    |  | W.                                  |  |  |  |  |  |  |  |  |
| Prior Provisional Application   | 1                           | Filing Date                     | Priority                               | Certified Copy Attached?            |  |  |  |  |  |  |  |  |
| Number(s)   | Country                     | (MM/DD/YYYY)                    | Not<br>Claimed                         | YES No                              |  |  |  |  |  |  |  |  |
| 60/268,224  | us                          | 02/12/01                        |  |                                     |  |  |  |  |  |  |  |  |
|   | 1 1                         | 1                               |  |                                     |  |  |  |  |  |  |  |  |
|   | 1                           | 1                               | 💾                                      |                                     |  |  |  |  |  |  |  |  |
|   |                             | 1                               |  |                                     |  |  |  |  |  |  |  |  |
|   |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |
| Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:   |                             |                                 |  |                                     |  |  |  |  |  |  |  |  |

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## **DECLARATION** — Utility or Design Patent Application

|  |  |                |   | _                    |  |                                     |                                |                                       |   |  |
|--|--|----------------|---|----------------------|--|-------------------------------------|--------------------------------|---------------------------------------|---|--|
| Direct all corr  | respondence to:  Customer or Bar Coc   | Numb<br>le Lab | er<br>el 2958                               | <u> </u>             |  | OR                                  |                                | Correspon                             | dence address below   |  |
| Name   | Nan Wu   |                |   |                      |  |                                     |                                |                                       |   |  |
| Address  | 139 Townsend Street, Suite 400   |                |   |                      | <del> </del>   |                                     |                                |                                       |   |  |
| City   | San Francisco  | St             | ate   | Cali                 | lifornia .   |                                     |                                | ZIP 9                                 | 94107-1922  |  |
| Country  | USA  |                |   | 5) 836-2500          |  |                                     | Fax (                          | 415) 836-9220                         |   |  |
| made are punis   | e that all statements made herein of<br>be true; and further that these state<br>shable by fine or imprisonment, or be<br>pplication or any patent issued ther | oth u          | own knowledg<br>s were made<br>nder 18 U.S. | je a<br>witl<br>C. 1 | re true and that a<br>n the knowledge t<br>001 and that suck | ll staten<br>hat willf<br>h willful | nents n<br>ul false<br>false s | nade on in<br>statements<br>tatements | formation and belief<br>its and the like so<br>may jeopardize the |  |
| NAME OF SOLE OR FIRST INVENTOR:  A petition has been filed for this unsigned inventor                                |  |                |   |                      |  |                                     |                                |                                       |   |  |
| Given Name (first and middle [if any])  Allan Y.  Family Name or Surname Chen  |  |                |   |                      |  |                                     |                                |                                       |   |  |
| Inventor's<br>Signature  |  |                |   | ,                    |  |                                     |                                | Date                                  |   |  |
| Residence: City  | Sacramento   | State          | e CA  |                      | Country US   | SA                                  |                                | itizenship                            | US  |  |
| Mailing Address  | s 4501 X Street, Room 6-12   | 6              |   |                      |  |                                     |                                |                                       |   |  |
| City   | Sacramento   | State          | CA_   |                      | <b>ZIP</b> 95817   |                                     | C                              | ountry                                | USA   |  |
| NAME OF SECOND INVENTOR:  A petition has been filed for this unsigned inventor                                       |  |                |   |                      |  |                                     |                                | ventor                                |   |  |
| Given Name (first and middle [if any])  Family Name or Surname   |  |                |   |                      |  |                                     |                                |                                       |   |  |
| nventor's<br>Signature   |  |                |   |                      |  |                                     | D                              | Date                                  |   |  |
| Residence: City Sta  |  |                |   |                      | Country  |                                     | Ci                             | Citizenship                           |   |  |
| Mailing Address  |  |                |   | <del>-</del>         |  |                                     |                                |                                       |   |  |
| Stat   |  |                |   |                      | ZIP  |                                     | Co                             | Country                               |   |  |
| Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto. |  |                |   |                      |  |                                     |                                |                                       |   |  |

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